

Standard Transfer Form

Affix stamp duty here

PLEASE USE BLOCK LETTERS TO COMPLETE THIS FORM

Please complete this form and send to: Balmain AQUA c/o Mainstream Registry, GPO Box 4968, Sydney NSW 2001

1. Transferor (seller) Details

Investor ID Number

Investor 1

Title

Given Name

Surname/Company/Partnership Name

Investor 2

Title

Given Name

Surname/Company/Partnership Name

Contact Phone Number

2. Transfer Details

Name of Investment (e.g. Balmain AQUA High Income Trust / Income Trust)

Number of Units

Consideration (e.g. \$10,000)

3. transferee (buyer) Details

Applicant - Surname/Company/Partnership/Adult for Child under 18/Executor

Title

Given Name

Middle Name

Date of Birth

TFN/ABN

Joint Applicant Surname

Title

Given Name

Middle Name

Date of Birth

TFN/ABN

Designated Account e.g Super Fund or Trust

TFN/ABN type - if not an individual, please mark the appropriate box

Company

Partnership

Trust

Super Fund

4. Transferee (buyer) Details continued

Contact Details

PO Box / RMB / Locked Bag / Care of (C/-) / Property Name / Building Name (if applicable)

Unit No./Level Street No. Street Name

Suburb

State

Postcode

Work Phone

Home Phone

Mobile Phone

Email

Financial Institution Details

Australian Financial Institution

BSB Number (Bank/State/Branch) Account Number

Account Name

Branch Suburb/Town

Adviser Details

Please tick here if you wish to retain the same Adviser details currently held by the Transferor (seller); or complete the Adviser details below

Adviser Name

Adviser Contact Number

Adviser Email

Dealer Group Name

Dealer Stamp

5. Signature (complete only if changes are applicable)

I/We the registered holder(s) and undersigned transferor(s)/seller(s) for the above, transfer to the transferee(s)/buyer(s) named above the unit(s) as specified above standing in my/our name(s) in the books of the fund named above, subject to the several conditions on which I/we held those unit(s) at the time of signing and I/we the transferee(s)/buyer(s) named above agree to accept those unit(s) on the same conditions.

Transferor (Seller)

Name

Signature

Date

Name

Signature

Date

Transferee (Buyer)

Name

Signature

Date

Name

Signature

Date

Important information about transfers

The following circumstances may constitute a transfer of units:

- Changing the trustee of a superannuation fund/family trust
- Transmission of units to the beneficiary of a deceased estate
- Changing from a joint to an individual investor
- Changing from an individual to a joint investor

We recommend you consult your financial or taxation adviser before transferring units as your tax and/or social security position may be affected.

How do I transfer my investment?

To transfer all or part of your investment to another person or equity, you will need to complete the following steps.

1. All parties to the transfer should complete the Standard Transfer Form attached (or a Standard Transfer Form available from stationers). **Please note that if you are transferring to two individual accounts you will need to complete two transfer forms. Both will need to be assessed by the NSW Office of State Revenue.**
2. Prior to submitting to Balmain AQUA you will need to send the completed Standard Transfer Form (to be assessed for stamp duty) to:
NSW Office of State Revenue
GPO Box 4042
Sydney NSW 2001
3. When the completed transfer form has been assessed, stamped and returned to you by the NSW Office of State Revenue
 - a. Complete an Off Market Transfer - Transferee Details Form from Balmain AQUA
 - b. Forward both the completed Standard Transfer Form and the Off Market Transfer - Transferee Details Form to our client services department at:
Balmain AQUA c/o Mainstream Registry,
GPO Box 4968,
Sydney NSW 2001

Upon receipt of both the completed Off Market Transfer - Transferee Details Form and stamped Standard Transfer Form we will then transfer the units to the transferee at the next valuation.

Please phone our Client Services on 1800 225 624 or send an email to balmainfunds@balmain.com.au if you have any questions.